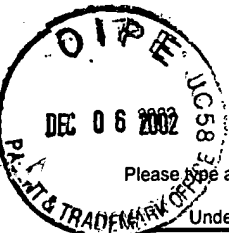


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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/812,068
	Filing Date	March 19, 2001
	First Named Inventor	Laurence H. Cooke et al.
	Group Art Unit	2825
	Examiner Name	Annette M. Thompson
Attorney Docket No.		262/043; 19535-7078

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment and Response to Office Action	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Substitute Sheet for FIG. 25 Drawing Sheet for FIG. 25 showing proposed change Initialed Form-1449 from Application Serial No. 09/410,356 Return Postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Supplemental Information Disclosure Statement with PTO/SB/08A and Cited References (24)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual Name	Peter C. Mei Bingham McCutchen LLP Three Embarcadero Center, Suite 1800 San Francisco, CA 94111-4067
Signature	 Erin C. Ming, Reg. No. 47,797
Date	December 3, 2002

Certificate of Mailing (37 C.F.R. § 1.8(a)):	
[X] I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated below with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, Washington D.C. 20231.	
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Complete if Known

Application Number	09/812,068
Filing Date	March 19, 2001
First Named Inventor	Laurence H. COOKE, et al.
Examiner Name	Annette M. Thompson
Group Art Unit	2825
Attorney Docket No.	262/043; 19535-7078

TOTAL AMOUNT OF PAYMENT

(\$580.00)

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

50-1189

Deposit
Account
Name

Bingham McCutchen LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

- ☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	22	- 22** =	0*	x	= \$
Independent Claims	2	- 3** =	0*	x	= \$
Multiple Dependent					= \$

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claims, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)*

** or number previously paid, if greater; For reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	400
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	330	219	160	Notice of Appeal	
120	330	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	180
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$580)

SUBMITTED BY

Name (Print/Type)

Erin C. Ming

Registration No.
(Attorney/Agent)

47,797

Complete (if applicable)

Telephone

(650) 849-4904

Signature

[Signature]

Date

December 3, 2002

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52093783.1

DEC 06 2002

Notice of References Cited

Application No.

07/10,350

Applicant(s)

CHANG et al.

Examiner

Pauline J.

Group Art Unit

2825

Page

1 of 1

U.S. PATENT DOCUMENTS

*		DOCUMENT NO	DATE	NAME	CLASS	SUBCLASS
A		5,665,076	9/97	RUSTOKER et al.	728	19
B		6,519,635	5/91	CHANG et al.	317	461
C		4,858,175	8/39	SATO	327	790
D						
E						
F						
G						
H						
I						
J						
K						
L						
M						

FOREIGN PATENT DOCUMENTS

*		DOCUMENT NO	DATE	COUNTRY	NAME	CLASS	SUBCLASS
N							
O							
P							
Q							
R							
S							
T							

NON-PATENT DOCUMENTS

*		DOCUMENT (including Author, Title, Source, and Pertinent Pages)	DATE
U		MA DACHY, "Knowledge-Based Risk Assessment and Cost Estimation," IEEE Trans. on Systems, Man, & Cybernetics, 1992-178.	1994
V		LARSON et al., "Managing Design Processes: A Risk Assessment Approach," IEEE Trans. on Systems, Man, & Cybernetics, Vol. 26, No. 6, 1719-1729.	1996
W		JALOME et al., "NREC: Risk Assessment and Planning of Complex Designs," IEEE Design & Test of Computers, 1992-49.	1997
X			

* A copy of this reference is not being furnished with this Office action.
 (See Manual of Patent Examining Procedure, Section 707.05(a).)

Part of Paper No. 3